

# **Impact of Flood Susceptibility Mapping as an Early Warning Tool in a Changing Environment**

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#### **1.0. Introduction**

Based on their locations and causes, flooding can generally be divided into four types and they include; River flooding, urban drainage, ground failures and coastal flooding and erosion [1,2,3]. River flooding occurs when the river runoff volume exceeds the channel carrying capacities or due to poor operation of flood control structures upstream. Cities located on low lying areas in the middle or lower reaches of rivers are particularly exposed to serious river flooding [4,5]. Flash floods are common types of riverine flooding that occur when a large amount of water is discharged within a few minutes or hours (three to six hours) of excessive rainfall. Flooding of urban cities which is normally referred to as urban flooding is caused by poor drainage systems and further compounded by the blockade of storm drains with municipal solid wastes and eroded soil sediments [6,7]. Unusually high tides and storm surges caused by severe winds over ocean

surfaces are largely responsible for coastal floods in towns or cities located in low lying land near the sea and tidal flats [8,9,10]. Overtopping of dams especially due to inadequate spillways remains the major cause of dam failure which can also lead to flooding.

Factors contributing to flooding can be grouped into meteorological factors, hydrological factors and human induced factors. Meteorological factors contributing to flooding include; Rainfall, Temperature, Snowmelt and cyclonic storms [11, 12,13,14]. Hydrological factors include; surface infiltration level, geometric characteristics of the channel (cross sectional area and shape factor), runoff level, presence of impervious cover and soil moisture condition while human induced factors include; Land use (influence of urbanization and deforestation), global warming and GHG emission resulting to climate change, habitation of flood plains areas, inadequate and poor sizing of drains, poor maintenance culture especially for water carrying structures and land reclamation (especially for coastal and wetland) [15,16]

In general, the impact of flooding is dependent on factors such as location, the height and duration and its nature [16, 17]. Specifically, flood causes damage to human lives, properties, buildings including critical government infrastructures such as; roads, bridges, electricity lines, farm lands including soil erosion and sediment transport and deposition which can consequently results in the pollution of surface water and destruction of aquatic wildlife [18,19]. On account of the danger pose by flood and other water related disaster, researchers all over have clamor for early warning signals that will help for flood preparedness and prevention [20,21,22]. Mapping and analysis of flood susceptibility is one of the most important elements of early warning systems or strategies for prevention and mitigation of future flood situations since it identifies the most vulnerable areas based on physical conditions that determine the propensity for flooding [23, 24]. Therefore, the term susceptibility can also be perceived as one of the dimensions of vulnerability assessment [23,25]. Informing the public about flood risks is an initial step to encourage public participation in flood risk management [24, 26]. Maps are useful tools for informing communities about their flood risk as they can be used for prioritizing local mitigation efforts, such as regulating build-up in flood-prone areas, and identifying which properties should adopt flood-proofing measures (e.g., installing a backwater valve).

Several factors can influence the development of a flood susceptibility map for a specific location. Depending on the physical characteristics of the area to be investigated, flood susceptibility mapping techniques rely on various conditioning factors such as; geology or lithology, morphometric properties (e.g., elevation, slope), river network density, soil types or hydrological soil groups, land use/land cover, and the like.

In selecting the conditioning factors for flood susceptibility analysis, it is important to consider the spatial scale (investigated area) of the analysis [24]. For large spatial scale (national and regional scale analysis), using less factors seems to be rational since it is more difficult to gain the same data (same scale or resolution) for the whole territory. For local scale studies (e.g., catchment scale), a wider range of location-specific data and factors are required, thus allowing for more accurate characterization of the flooding characteristics [25,26]

It is interesting to note that one of the greatest arguments about flood susceptibility mapping is the actual number of conditioning factors to be selected in order to best visualize the physical characteristics that describes the propensity of the study area to flooding [26]. Some researchers have used five factors while others have employed 6, 7, 8 and 10 factors respectively. Although no exact agreement exists on the number of factors, some of the conditioning factors recur in most previous studies and thus can be considered basic factors indicating their important role and relevance for flood susceptibility mapping. These basic factors include; slope, elevation, land use/land cover, distance from river, drainage density, and lithology.

In this study about 12 conditioning factors which include; geology, slope, elevation, land use/land cover, precipitation, flow length, river distance, road distance, drainage density soil, topographic wetness index (TWI) and normalized vegetation difference index (NDVI) were selected to prepare a flood susceptibility map.

## **2.0 Methodology**

# **2.1 Description of Study Area**

The study area is Ikpoba catchment Benin City, Edo State, Nigeria (Figure 1). Edo State lies roughly between longitude  $06^{\circ}$  04'E and  $06^{\circ}$  43'E and latitude 05°44' N and 07°34' N (Okoduwa, 1999). Edo State has a tropical climate characterized by two distinct seasons: the wet and dry seasons. The wet season occurs between April and October with a break in August, and an average rainfall ranging from 150 cm in the extreme north of the State to 250 cm in the south. The dry season lasts from November to April with a cold harmattan spell between December and January. The temperature averages about 25 °C (77 °F) in the rainy season and about 28 °C (82 °F) in the dry season. The climate is humid tropical in the southern part and sub-humid in the northern part (Okoduwa, 1999). In order to visualize the spatial scale of the study area and determine the communities within the area, the digital elevation model (DEM) of the study area obtained and converted to kml file, then, it was projected to google in order to determine the communities covered by the study.The outcome is presented in Figures 1.



**Figure 1: Google earth map of study area**

From Figure 1, some of the communities within the study area include; Agemopa, Odighi, Okohuo, Aihuobabekun, Ogbogiobo, Evboneka, Izakagbo, Ekiadoro, Utekon, Igozula, Ada Obadan, Ogheghe, Uselu, Aho, Igueowieoba, Iguomo, Ugonoba and Oka. Others are; Uweyoba, Urhuokhokhor, Egbiri, Evbuomoma, Ugoneki, Utesi, Utezi and Obaretin community.

#### **2.2 Data Requirement**

Since floods are multi-dimensional phenomena with spatial and temporal aspects, geographic information systems (GIS) represent useful tools for the synthesis of different input data and variables using specific logical and mathematical relations to produce flood susceptibility maps. The flood causative criterion (input data) employed in this study include; soil data, slope data, land use/land cover data, precipitation data, flow length data, river distance data, drainage density data, topographic wetness index (TWI) data, normalized difference vegetation index (NDVI) data, road distance data, and elevation data. The susceptibility class including the ranges and rating of each criterion is presented in Tables 1 and 2 respectively

<b>Flood Causative</b>	Unit <b>Class</b>		<b>Susceptibility Class</b>	<b>Susceptibility</b>	Weight				
<b>Criterion</b>			<b>Ranges and Rating</b>	<b>Class Rating</b>	$(\%)$				
		3.12-6.47	Very Low						
		6.48-8.39	Low	$\overline{2}$					
TWI	Level	8.40-10.98	Moderate	3					
		10.99-14.49	High	$\overline{4}$					
		14.50-24.43	Very High	5					
		$-1-53$	Very High	5					
		54-91	High	$\overline{4}$					
<b>Elevation</b>	M	92-131	Moderate	3					
		132-194	Low	$\overline{2}$					
		195-306	Very Low						
	$\%$	< 2.15	Very High	5					
		2.16-4.15	High	$\overline{4}$					
<b>Slope</b>		4.16-6.59	Moderate	3					
		6.60-10.46	Low	$\overline{2}$					
		10.47-36.52	Very Low	$\mathbf{1}$					
	mm/yr	< 8.59	Very Low	$\mathbf{1}$					
Precipitation		8.60-9.28	Low	$\overline{2}$					
		9.29-10.03	Moderate	3					
		10.04-10.74	High	$\overline{4}$					
		10.77-11.83	Very High	5					

**Table 1: Flood causative criterion and their susceptibility class**

<b>Flood Causative</b>	Unit	<b>Class</b>	<b>Susceptibility Class</b>	<b>Susceptibility</b>	Weight (%)
<b>Criterion</b>			<b>Ranges and Rating</b>	<b>Class Rating</b>	
		Water Body	Very High	5	
		Built up Area	Moderate	$\overline{3}$	
<b>LULC</b>	Level	<b>Barren Land</b>	Low	$\overline{c}$	
		Vegetation	Very Low	$\mathbf{1}$	
		$-0.061 - 0.101$	Very High	$\sqrt{5}$ $\overline{4}$	
	Level	0.102-0.189	High		
<b>NDVI</b>		0.190-0.270	Moderate	$\overline{3}$	
		0.271-0.348	Low	$\overline{2}$	
		0.349-0.735	Very Low	$\mathbf{1}$	
		< 453	Very High	$\sqrt{5}$	
		454-907	High	$\overline{4}$	
<b>Distance From</b>	m	908-1314	Moderate	$\mathfrak{Z}$	
<b>River</b>		1315-1939	Low	$\overline{2}$	
		1940-3988	Very Low	$\mathbf{1}$	
		< 25	Very High	$\sqrt{5}$	
		26-50	High	$\overline{4}$	
<b>Distance From</b>	m	51-100	Moderate	$\overline{3}$	
Road		101-150	Low	$\overline{2}$	
		>150	Very Low	1	
		< 0.733	Very Low	1	
		0.734-1.316	Low	$\overline{2}$	
<b>Drainage Density</b>	m	1.317-1.917	Moderate	$\overline{3}$	
		1.918-2.707	High	$\overline{4}$	
		2.708-4.793	Very High	$\overline{5}$	
		Silty Clay	Very High	$\overline{5}$	
		<b>Silty Sediments</b>			
<b>Soil Type</b>	Level		Moderate	3	
		Sandy Clay			
		Sand	Low	$\overline{2}$	

**Table 2: Flood causative criterion and their susceptibility class cont.**

To compute the weight (%) for each criterion, analytical hierarchy process (AHP) was employed.

#### **2.3 Procedure for Data Collection**

The input data were generated in raster format using ArcGIS version 10.4.1. The step-by-step methodology employed to generate the various raster data is shown in the schematics presented in Figures  $2 - 6$  respectively.

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**Figures 2: Schematic for generating lulc map and precipitation map**



**Figures 3: Schematic for generating soil map and slope map**



Figure 4: Schematic for generating Flow Length and river distance map



Figures 5: Schematic for generating Drainage Density and Topographic Wetness Index maps



**Figures 6: Schematic for generating NDVI and Distance to road maps**

# **2.4. Generation of flood susceptibility map using AHP and weighted overlay**

In order to arrive at a final flood susceptibility map, the following thematic maps (TWI, Precipitation, LULC, Slope, Elevation, drainage density, soil, NDVI, distance from road, and distance from river) were overlayed using weighted overlay analysis. Weighted overlay analysis is a simple and straightforward method for a combined analysis of multi class maps. The weight of influence for each factor was computed statistically using analytical hierarchy process (AHP). The first step in obtaining the AHP design was to define the criteria and the sub-criteria. Table 3 presents the selected criteria and their respective sub-criteria's.

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<b>Criteria</b>	Sub-	Sub-	Sub-	Sub-	Sub-	Sub-	Sub-	Sub-	Sub-
	<b>Criteria</b>	<b>Criteria</b>	<b>Criteria</b>	Criteria	Criteria	Criteria	<b>Criteria</b>	Criteria	<b>Criteria</b>
	TWI	<b>Elevation</b>	<b>Slope</b>	Precipitati	<b>LULC</b>	<b>NDVI</b>	<b>DfRiv</b>	<b>DfRoad</b>	<b>Drain</b>
				on					Den
TWI	Elevation	Slope	Precipitation	<b>LULC</b>	<b>NDVI</b>	Distance	Distance	Drainage	Soil Type
						from	from	Density	
						River	Road		
Elevation	Slope	Precipitation	<b>LULC</b>	<b>NDVI</b>	Distance	Distance	Drainage	Soil	<b>LULC</b>
					from River	from	Density	<b>Type</b>	
						Road			
Slope	Precipitation	<b>LULC</b>	<b>NDVI</b>	Distance	Distance	Drainage	Soil		
				from River	from Road	Density	<b>Type</b>		
Precipitation	<b>LULC</b>	<b>NDVI</b>	Distance	Distance	Drainage	Soil Type			
			from River	from Road	Density				
<b>LULC</b>	<b>NDVI</b>	Distance	Distance	Drainage	Soil Type				
		from River	from Road	Density					
<b>NDVI</b>	Distance	Distance	Drainage	Soil Type					
	from River	from Road	Density						
Distance	Distance	Drainage	Soil Type						
from River	from Road	Density							
Distance	Drainage	Soil Type							
from Road	Density								
Drainage	Soil Type								
Density									
Soil Type									

**Table 3: Definition of criteria and sub-criteria for AHP analysis**

#### **2.5 Definition of parameters significance scale**

To define the one to nine scale of parameter significance, the scheme proposed by Saaty, reported in Table 4 was employed to translate linguistic judgments into numbers.



# **Table 4: Saaty summary**

#### **2.6 Generation of AHP Matrix**

To generate the AHP matrix needed to compute the percentage weight of influence for each of the flood causative criterion, a review of previous related research work was done in order to ascertain the superiority of each criterion over the other. Result of the review is presented in Table 5 while the generated AHP matrix is presented in Table 5.



#### **Table 5: Superiority of selected criterion**





To validate the AHP results, the index of consistency was employed. The principal eigenvalue (  $\lambda_{\text{max}}$ ) is a function of the matrix divergence from consistency. In other words, a pairwise matrix is considered consistent only when  $\lambda_{\text{max}}$  equal or more than the number of the layers examined. The index of consistency was estimated using the mass balance equation of the form

$$
CI = \frac{\lambda_{\text{max}} - n}{n - 1} \tag{1}
$$

Where;  $\lambda_{\text{max}}$  denotes the principal eigenvalue, and n represent the number of parameters. For a 3 by 3 matrix, the consistency index is less than 0.05. For a 4 by 4 matrix, it is 0.09 while for large matrices, it is 0.1. If it matches, then the pairwise comparison is said to be consistent and the calculated weight of influence is said to be valid. The generated matrix based on AHP procedure is presented in Table 6.



#### **Table 6: Generation of AHP matrix**

# **3.0Results and Discussion**

#### **3.1 Result of Drainage Density Estimation**

The exact study area was estimated as  $2579.18 \text{km}^2$ . The descriptive statistics of the stream length is presented in Table 7.





From the result of Table 7, the total stream length was estimated as 1949.167712km. Using the ratio of total stream length to study area, the drainage density of the study area was estimated as 0.7557km which is classified as very high drainage density.

**Reclassify LULC Map** 

## **3.2 Map Generation and Reclassification**

The generated maps and their reclassified version are presented in Figures 7 to 17.



**Figure 7: Ikpoba land use classification**

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**Figure 8: Ikpoba soil classification**





**Figure 9: Ikpoba slope classification**





**Figure 10: Ikpoba precipitation map**



**Figure 11: Ikpoba river distance map**







**Figure 12: Ikpoba elevation classes**





**Figure 13: Ikpoba TWI classes**





**Figure 14: Ikpoba drainage density map**



**Figure 15: Ikpoba distance to road map**









**Figure 16: Ikpoba NDVI classes**

Higher precipitation rate considerably increases the probability of flood. Also, higher river distance and drainage network density implies accelerated surface runoff and increases the probability of flooding since flood frequency and intensity are usually very high around drainage density areas. Particularly closer to river, higher values of topographic wetness index correspond to areas favouring water accumulation and high runoff. Topographic wetness index (TWI) is a function of both the slope and the upstream contributing area. It is also highly correlated with several soil attributes such as horizon depth, silt percentage, organic matter content and phosphorous content. In addition, TWI can also be used to quantify topographic control and terrain driven variation in soil moisture. Land use land cover change dynamic parameters usually affect hydrological process components such as infiltration, surface runoff, evaporation and evapotranspiration. For slope, elevation, distance from river and distance from road, lower values of these parameters increase the probability of flood. The normalized difference vegetation index accounts for the amount of green cover and lower values of NDVI imply higher probability of flood.

#### **3.3 Percentage Weight Estimation using AHP**

Result of percentage weight estimation using AHP approach is presented in Table 8





*IC = 0.093 ; RC = 6.22%*

With an estimated index of consistency of 0.093 as observed in Table 8, it was concluded that the pairwise comparison is consistent and the calculated weight of influence is valid. On the flood causative criterion with the highest influence, a plot of priority criterion was generated and presented in Figure 17.



**Figure 17: Percentage of infleunce of flood causative criterion**

From the result of Figure 17, precipitation was acclaimed the factor with the highest influenced on flood followed by the topographic wetness index and distance from river.

# **3.4 Generation of Final Flood Susceptibility Map**

Using the estimated percentage weight base on AHP, the weighted overlay tool in ArcGIS 10.4.1 was then employed to stack the reclassify raster data in order to generate the final flood map presented in Figure 18.



**Figure 18: Final Flood Map of Study Area**

In order to determine the areas with high flood risk, the flood map was converted to kml file and thereafter projected to google. The outcome is presented in Figure 19.



**Figure 19: Projected flood susceptibility map**

Based on the outcome of Figure 19, it was observed that communities such as; Odighi and Okohuo pose a very high risk of flooding while Aihuobabekun, Ogbogiobo, Evboneka, Izakagbo, Ekiadoro, Ogheghe, Uselu and Aho pose a high risk of flooding.

# **4.0 Conclusion**

The presented study aimed to define the flood susceptibility zones for Ikpoba catchment using AHP technique and GIS. Ten flood conditioning factors were chosen in order to capture the complexity of physical configuration of the study area. The equal proportional principle for the selection of flood conditioning factors was used and the following factors were selected: Topographic wetness index (TWI), Elevation, Slope, Precipitation, and Land use land cover. Others are; Normalized difference vegetation index (NDVI), Distance from river, Distance from road, Drainage density and soil type. The AHP technique was used to calculate the factor weights based on Saaty's nine-point scale of influence. The relative importance of the selected conditioning factors prioritized precipitation as the most important factor for finding areas susceptible to flooding, followed by topographic wetness index (TWI) and distance from rivers. Finally, the weighted overlay method was employed to combine the reclassified factors and produce the resulting flood susceptibility map which contains four classes: very low, low, high, and very high susceptibility. Flood susceptibility mapping for flood prone areas provide general knowledge of the flood hazard, predict risk areas and helps in establishing flood management measures.

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